

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	:	Examiner: Kaveh C. Kianni
Tatsuro UCHIDA	)	
	:	Group Art Unit: 2883
Application No.: 10/541,188	)	
	:	Confirmation No.: 4934
Filed: June 30, 2005	)	
	:	
For: OPTICAL ELEMENT DEVICE AND	:	
TWO-DIMENSIONAL OPTICAL	:	February 13, 2007
WAVEGUIDE DEVICE AND	:	
OPTOELECTRONIC CIRCUIT	)	
BOARD USING THE SAME		

**Mail Stop Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THIRD INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents, other than U.S. patent documents, are also enclosed.

REMARKS

The following documents were cited in a Japanese Official Action of a foreign counterpart application corresponding to the above-referenced application:

- (1) EP I 286 194 A;
- (2) JP-A 2000-183390;
- (3) JP-A 2002-353552;

(4) JP-A H09-260764; and

(5) JP-A H08-209364.

English-language Abstracts for the JP-A documents were obtained from a commercial database and are also enclosed.

Applicants submit that JP-A 2003-057468 and JP-A 2003-227951 correspond to Document (1). Document (1) was cited in the September 30, 2005 and May 16, 2006 Information Disclosure Statements. Applicant submits that in the Japanese Official Action, the Japanese Patent Office Examiner states that the above documents (3) and (4) disclose changing the angle of emission of a light emitting element, while the above document (5) discloses forming a reflection surface by using reactive ion beam etching.

#### FORMAL MATTERS

Please charge \$180.00 to cover the Information Disclosure Statement fee under 37 C.F.R. § 1.17(p) to Deposit Account No. 06-1205. Any deficiency should be charged and any overpayment credited to that account.

#### CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicant's undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

/Daniel S. Glueck/  
Attorney for Applicant  
Daniel S. Glueck  
Registration No. 37,838

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3800  
Facsimile: (212) 218-2200  
DSG/cmg

FORM PTO 1448 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)			ATTY DOCKET NO. <b>03500.103081</b>		APPLICATION NO. <b>10/541,188</b>	
/APPLICANT <b>Tatsuro UCHIDA</b>						
FILING DATE <b>June 30, 2005</b>					GROUP <b>2883</b>	
U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	<b>5,786,925</b>	<b>07/28/1998</b>	<b>Goossen, et al.</b>	<b>359</b>	<b>245</b>	
	<b>2005/0100298 A1</b>	<b>05/12/2005</b>	<b>Okubora, et al.</b>	<b>359</b>	<b>129</b>	
	<b>2004/0047539 A1</b>	<b>03/11/2004</b>	<b>Okubora, et al.</b>	<b>359</b>	<b>14</b>	
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
	<b>2003-057468</b>	<b>02/26/2003</b>	<b>Japan</b>			<b>Abstract</b>
	<b>2003-227951</b>	<b>08/15/2003</b>	<b>Japan</b>			<b>Abstract</b>
	<b>2000-183390</b>	<b>06/30/2000</b>	<b>Japan</b>			<b>Abstract</b>
	<b>2002-353552</b>	<b>12/06/2002</b>	<b>Japan</b>			<b>Abstract</b>
	<b>9-260764</b>	<b>10/03/1997</b>	<b>Japan</b>			<b>Abstract</b>
	<b>8-209364</b>	<b>08/13/1996</b>	<b>Japan</b>			<b>Abstract</b>
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)						
EXAMINER			DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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